

Port-Hamiltonian Systems and Energy Conversion

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Motivation and context

Many classical and current technological problems are concerned with **energy conversion** and **energy harvesting**:

watermills, windmills, steam engine, combustion engines, electrical motors and generators, turbines, fuel cells, etc..

Often **multiphysics** systems.

Typically, the design and control of such systems is done **case by case**.

From the heat engine it is known that **heat** cannot be freely converted into **mechanical work**: Second law of thermodynamics.

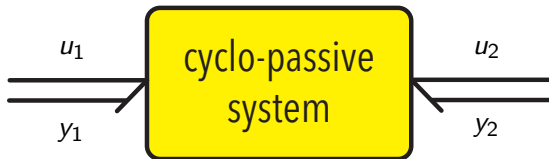
Question:

Is there a theory of energy conversion of general multiphysics systems?

- 1 Central question
- 2 Port-Hamiltonian formulation
- 3 Structural limitations to energy conversion
- 4 Energy conversion in case of non-zero off-diagonal blocks
- 5 Conclusions

Central question of the talk:

Consider a physical system with two power ports



How to convert energy flowing in at port 1 to energy flowing out at port 2, and what are possible limitations in order to do this?

Note: We consider physical systems **without internal energy creation**, which are thus **cyclo-passive** :

$$\oint [y_1^\top(t)u_1(t) + y_2^\top(t)u_2(t)] dt \geq 0$$

for any cyclic motion.

Recall: A function $S(x)$ is a **storage function** for system with input vector u and equally dimensioned output vector y if

$$S(x(t_2)) \leq S(x(t_1)) + \int_{t_1}^{t_2} y^\top(t)u(t)dt$$

holds for all $t_1 \leq t_2$, all input functions $u : [t_1, t_2] \rightarrow \mathbb{R}^m$, and all initial conditions $x(t_1)$.

Clearly, if there exists a storage function then the system is **cyclo-passive**: substitute $x(t_2) = x(t_1)$.

The converse holds under the assumption of **reachability** from and **controllability** to some ground state x^* .

The system is **passive** if $S(x) \geq 0$.

Differential version of the above inequality is

$$\frac{d}{dt}S \leq y^\top u$$

- ① Central question
- ② Port-Hamiltonian formulation
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- ④ Energy conversion in case of non-zero off-diagonal blocks
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Analysis of energy conversion

Formulate the system into **port-Hamiltonian** form

$$\begin{aligned}\dot{x} &= J(x)e - \mathcal{R}(x, e) + G(x)u, & e &= \frac{\partial H}{\partial x}(x), \\ y &= G^\top(x)e, & x &\in \mathcal{X}\end{aligned}$$

with n -dimensional state space \mathcal{X} , **Hamiltonian** $H : \mathcal{X} \rightarrow \mathbb{R}$, skew-symmetric **interconnection matrix** $J(x) = -J^\top(x)$, and **energy-dissipation mapping** \mathcal{R} satisfying

$$e^\top \mathcal{R}(x, e) \geq 0, \text{ for all } x, e$$

Any port-Hamiltonian system satisfies

$$\frac{d}{dt}H(x) = e^\top J(x)e - e^\top \mathcal{R}(x, e) + e^\top G(x)u \leq y^\top u$$

Thus H is a storage function, and any port-Hamiltonian system is cyclo-passive.

Port-based modeling of any physical system leads to a port-Hamiltonian formulation.

Port-based modeling is based on representing the system as a network of ideal components, representing

- Energy storage: integrator dynamics defining state variables
- Energy-dissipation: static
- Power-routing elements (ideal transformers, gyrators, \dots): static

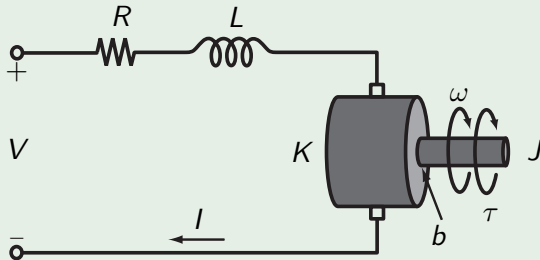
Furthermore, these ideal components are interconnected by pairs of conjugate variables, whose product equal power.

The resulting class of dynamical systems are called port-Hamiltonian systems, although, differently from standard Hamiltonian systems, they do allow for energy dissipation, as well as interaction with the surroundings ('open systems').

Every physical system that is modeled in this way defines a port-Hamiltonian system.

For control purposes 'any' physical system can be modeled this way.

Example (DC motor)



Five interconnected subsystems:

- 2 energy-storing elements: **inductor** L with state φ (flux), and rotational **inertia** J with state p (angular momentum);
- 2 energy-dissipating elements: **resistor** R and **friction** b ;
- **gyrator** K ;

together with **electrical** port (V, I) and **mechanical** port (τ, ω).

Example (DC motor cont'd)

The subsystems are interconnected by

$$V_L + V_R + V_K + V = 0, \quad \text{while currents are equal}$$

$$\tau_J + \tau_b + \tau_K + \tau = 0, \quad \text{while angular velocities are equal}$$

Results in port-Hamiltonian model

$$\begin{bmatrix} \dot{\varphi} \\ \dot{p} \end{bmatrix} = \left(\begin{bmatrix} 0 & -K \\ K & 0 \end{bmatrix} - \begin{bmatrix} R & 0 \\ 0 & b \end{bmatrix} \right) \begin{bmatrix} \frac{\varphi}{L} \\ \frac{p}{J} \end{bmatrix} + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} V \\ \tau \end{bmatrix},$$

$$\begin{bmatrix} I \\ \omega \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \frac{\varphi}{L} \\ \frac{p}{J} \end{bmatrix}, \quad H(\varphi, p) = \frac{\varphi^2}{2L} + \frac{p^2}{2J}$$

$$\dot{x} = J(x) \frac{\partial H}{\partial x}(x) - \underline{\mathcal{R}(x, \frac{\partial H}{\partial x}(x))} + G(x)u$$

$$\underline{y} = \underline{G^T(x) \frac{\partial H}{\partial x}(x)}$$



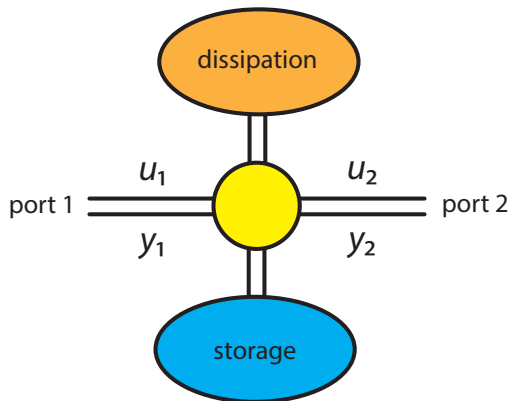
Sir William Rowan Hamilton

Addition of

- Energy-dissipating elements
- External ports u, y
- Possibly algebraic constraints
(not in the above input-state-output formulation)

Back to energy conversion

Consider the following cartoon of a port-Hamiltonian system with two ports:



How to convert energy from port 1 to port 2 ?

Hence

$$u = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}, \quad y = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}$$

thus

$$\frac{d}{dt}H \leq y_1^\top u_1 + y_2^\top u_2$$

Energy conversion from port 1 to port 2 if

$$\oint y_1^\top(t) u_1(t) dt \geq 0, \quad \text{energy consumption}$$

while

$$\oint y_2^\top(t) u_2(t) dt \leq 0, \quad \text{energy production}$$

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Suppose there is a partitioning

$$x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

such that the port-Hamiltonian system has **block-diagonal** structure

$$\dot{x}_1 = J_1(x_1, x_2)e_1 - \mathcal{R}_1(x_1, x_2, e_1) + G_1(x_1, x_2)u_1,$$

$$\dot{x}_2 = J_2(x_1, x_2)e_2 - \mathcal{R}_2(x_1, x_2, e_2) + G_2(x_1, x_2)u_2,$$

$$y_1 = G_1^\top(x_1, x_2)e_1, \quad e_1 = \frac{\partial H}{\partial x_1}(x_1, x_2),$$

$$y_2 = G_2^\top(x_1, x_2)e_2, \quad e_2 = \frac{\partial H}{\partial x_2}(x_1, x_2),$$

where $G_1(x_1, x_2)$ is an **invertible** matrix for all x_1, x_2 . Recall

$$J_1(x) = -J_1^\top(x), \quad J_2(x) = -J_2^\top(x), \quad e_1^\top \mathcal{R}_1(x, e_1) \geq 0, \quad e_2^\top \mathcal{R}_2(x, e_2) \geq 0$$

Motions with constant x_1

Hence for all \bar{x}_1 there exists input function u_1 that keeps $x_1(t) = \bar{x}_1$.

It follows that for any such input function u_1

$$\begin{aligned} \frac{d}{dt}H(\bar{x}_1, x_2) &= \left[\frac{\partial H}{\partial x_2}(\bar{x}_1, x_2) \right]^\top \dot{x}_2 = \\ & \left[\frac{\partial H}{\partial x_2}(\bar{x}_1, x_2) \right]^\top (J_2(x)e_2 - \mathcal{R}_2(x, e_2) + G_2(x)u_2) \leq y_2^\top u_2 \end{aligned}$$

Hence **cyclo-passivity** at port 2 with storage function $H(\bar{x}_1, x_2)$: energy is always **consumed** at port 2 during cyclic motions.

Furthermore, for any t

$$\begin{aligned} y_1^\top(t)u_1(t) &= e_1^\top(t)G_1(\bar{x}_1, x_2(t))u_1(t) = \\ e_1^\top(t) & \left[-J_1(\bar{x}_1, x_2(t))e_1(t) + \mathcal{R}_1(\bar{x}_1, x_2(t), e_1(t)) \right] \geq 0 \end{aligned}$$

'**Static passivity**' at port 1.

Theorem

For all cyclic motions with constant $x_1(t) = \bar{x}_1$

$$\oint y_2^\top(t) u_2(t) dt \geq 0$$

Thus system is *cyclo-passive* at port 2 with storage function $H(\bar{x}_1, x_2)$.
Hence *no net energy* is produced at port 2. Also

$$y_1^\top(t) u_1(t) \geq 0$$

for all t , and thus *static passivity* at port 1.

Note: inequalities become equalities in case of no dissipation:

$$\mathcal{R}_1(\bar{x}_1, x_2, e_1) = 0, \quad \mathcal{R}_2(\bar{x}_1, x_2, e_2) = 0$$

In analogy with *thermodynamics* all motions with $x_1(t) = \bar{x}_1$ will be called *adiabatics* (entropy is constant).

Motions with constant e_1 and y_1

Consider a port-Hamiltonian system with G_1 **invertible** and **constant**, and $\frac{\partial^2}{\partial x_1^2} H(x_1, x_2)$ **full rank**. Since

$$\dot{e}_1 = \frac{\partial^2 H}{\partial x_1^2}(x_1, x_2) \dot{x}_1 + \text{other terms},$$

it follows, after substituting the equation for \dot{x}_1 , that u_1 can be chosen such that e_1 , or equivalently y_1 , is **constant**.

Furthermore, the **partial Legendre transform** of H with respect to x_1 is

$$H_1^*(e_1, x_2) = H(x_1, x_2) - e_1^\top x_1, \quad e_1 = \frac{\partial H}{\partial x_1}(x_1, x_2),$$

where x_1 is expressed as a function of e_1, x_2 by means of $e_1 = \frac{\partial H}{\partial x_1}(x_1, x_2)$ (locally guaranteed). Has properties

$$\frac{\partial H_1^*}{\partial e_1}(e_1, x_2) = -x_1, \quad \frac{\partial H_1^*}{\partial x_2}(e_1, x_2) = \frac{\partial H}{\partial x_2}(x_1, x_2)$$

Then for any u_1 such that $y_1 = \bar{y}_1$ and $e_1 = \bar{e}_1$ constant

$$\begin{aligned} \frac{d}{dt} H_1^*(\bar{e}_1, x_2) &= -x_1^\top \dot{\bar{e}}_1 + e_2^\top \dot{x}_2 \\ &= e_2^\top J_2(x_1, x_2) e_2 - e_2^\top \mathcal{R}_2(x_1, x_2, e_2) + e_2^\top G_2(x_1, x_2) u_2 \\ &\leq y_2^\top u_2 \end{aligned}$$

Thus **cyclo-passivity** at port 2 with **storage function** $H_1^*(\bar{e}_1, x_2)$.

Furthermore

$$\begin{aligned} \int_0^\tau \bar{y}_1^\top u_1(t) dt &= \int_0^\tau \bar{e}_1^\top G_1 u_1(t) dt = \\ \int_0^\tau \bar{e}_1^\top [\dot{x}_1(t) + \mathcal{R}_1(x_1(t), x_2(t), \bar{e}_1)] dt &\geq \bar{e}_1^\top (x_1(\tau) - x_1(0)) \end{aligned}$$

Thus **cyclo-passivity** at port 1 as well, with **storage function** $\bar{e}_1^\top x_1$.

Theorem

Consider a port-Hamiltonian system with G_1 invertible and constant, and $\frac{\partial^2}{\partial x_1^2} H(x_1, x_2)$ full rank. Then, for all cyclic motions with constant $e_1 = \bar{e}_1$, and thus $y_1 = \bar{y}_1$:

$$\oint \bar{y}_1^\top u_1(t) dt \geq 0, \quad \oint y_2^\top(t) u_2(t) dt \geq 0$$

Hence no net energy is produced at port 2, nor at port 1.

Again, the inequalities become equalities in case

$$\mathcal{R}_1(\bar{x}_1, x_2, e_2) = 0, \quad \mathcal{R}_2(\bar{x}_1, x_2, e_2) = 0$$

Motions for which $e_1 = \bar{e}_1$, and $y_1 = \bar{y}_1$, will be called **isothermals**.

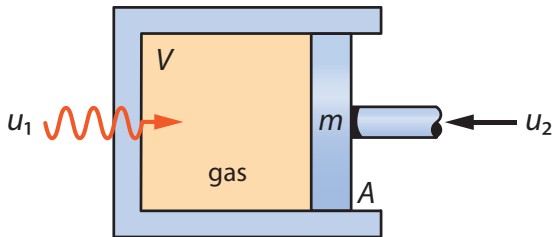


Figure: Gas-piston system with heat port

$$\begin{bmatrix} \dot{S} \\ \dot{V} \\ \dot{\pi} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & A \\ 0 & -A & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial S} \\ \frac{\partial H}{\partial V} \\ \frac{\partial H}{\partial \pi} \end{bmatrix} + \begin{bmatrix} 1 & 0 \\ 0 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix},$$

with Hamiltonian $H(S, V, \pi) = U(S, V) + \frac{1}{2m}\pi^2$ and outputs

$$y_1 = \frac{\partial U}{\partial S} (= T), \quad y_2 = \frac{\pi}{m}.$$

In case of an **ideal gas**

$$U(V, S) = \frac{C_V e^{\frac{S}{C_V}}}{V e^{\frac{R}{C_V}}},$$

where C_V denotes the heat capacity (at constant volume) and R is the universal gas constant. The partial Legendre transform with respect to S is the **Helmholtz free energy**

$$C_V T + W - T(C_V \ln T + R \ln V + a),$$

with a the entropy constant, and W an integration constant.

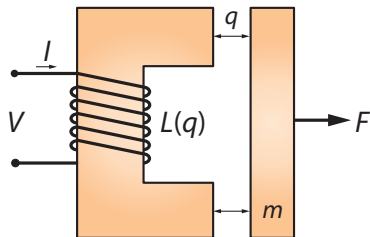
Thus for constant temperature \bar{T} the gas-piston system is **cyclo-passive at its mechanical port**, with **storage function** given by

$$C_V \bar{T} + W - \bar{T}(C_V \ln \bar{T} + R \ln V + a) + \frac{1}{2m} \pi^2 = \bar{T} R \ln V + \frac{1}{2m} \pi^2 + c$$

This is a consequence of the **Second Law**:

A transformation of a thermodynamic system whose only final result is to transform into work heat extracted from a source which is at the same temperature throughout is impossible.

Electro-mechanical actuator



$$\begin{bmatrix} \dot{\varphi} \\ \dot{q} \\ \dot{p} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial \varphi} \\ \frac{\partial H}{\partial q} \\ \frac{\partial H}{\partial p} \end{bmatrix} + \begin{bmatrix} 1 & 0 \\ 0 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} V \\ F \end{bmatrix},$$

$$l = \frac{\partial H}{\partial \varphi}, \quad v = \frac{\partial H}{\partial p} \quad (= \text{velocity of right mass})$$

Since

$$H(\varphi, q, p) = \frac{\varphi^2}{2L(q)} + \frac{p^2}{2m}$$

the partial Legendre transform wrt to φ is given as

$$H_{\varphi}^*(I, q, p) = \frac{\varphi^2}{2L(q)} + \frac{p^2}{2m} - \varphi \frac{\varphi}{L(q)}, \quad \varphi = L(q)I$$

and thus

$$H_{\varphi}^*(I, q, p) = -\frac{1}{2}L(q)I^2 + \frac{p^2}{2m}$$

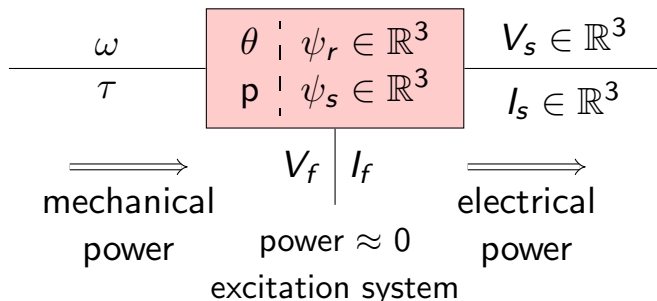
Hence for constant $I = \bar{I}$, the actuator is cyclo-passive at its mechanical port with storage function

$$-\frac{1}{2}L(q)\bar{I}^2 + \frac{p^2}{2m}$$

(NB: a reasonable approximation of the inductance function $L(q)$ is

$$L(q) = \frac{a}{b+q})$$

Synchronous machine/generator



Not cyclo-passive at **electrical** port for **constant angular velocity** at mechanical port.

However passive at **mechanical** port for **constant stator currents** at electrical port.

('No work produced for constant DC current')

Port-Hamiltonian model

$$\begin{bmatrix} \dot{\psi}_s \\ \dot{\psi}_r \\ \dot{p} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} -R_s & 0_{33} & 0_{31} & 0_{31} \\ 0_{33} & -R_r & 0_{31} & 0_{31} \\ 0_{13} & 0_{13} & -b & -1 \\ 0_{13} & 0_{13} & 1 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial \psi_s} \\ \frac{\partial H}{\partial \psi_r} \\ \frac{\partial H}{\partial p} \\ \frac{\partial H}{\partial \theta} \end{bmatrix} + \begin{bmatrix} I_3 & 0_{31} & 0_{31} \\ 0_{33} & e_1 & 0_{31} \\ 0_{13} & 0 & 1 \\ 0_{13} & 0 & 0 \end{bmatrix} \begin{bmatrix} V_s \\ V_f \\ \tau \end{bmatrix}$$

with outputs

$$\begin{bmatrix} I_s \\ I_f \\ \omega \end{bmatrix} = \begin{bmatrix} I_3 & 0_{33} & 0_{31} & 0_{31} \\ 0_{13} & e_1^\top & 0 & 0 \\ 0_{13} & 0_{13} & 1 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial \psi_s} \\ \frac{\partial H}{\partial \psi_r} \\ \frac{\partial H}{\partial p} \\ \frac{\partial H}{\partial \theta} \end{bmatrix}$$

with **Hamiltonian**

$$H(\psi_s, \psi_r, p, \theta) = \frac{1}{2} [\psi_s^\top \quad \psi_r^\top] L^{-1}(\theta) \begin{bmatrix} \psi_s \\ \psi_r \end{bmatrix} + \frac{1}{2J_r} p^2,$$

where $J_r > 0$ is rotational inertia and $L(\theta) \succ 0$ is a 6×6 positive-definite symmetric inductance matrix.

For **constant stator currents** I_s the machine is passive at the combined mechanical and excitation port.

Storage function given by the partial Legendre transform $H_{\psi_s}^*(I_s, \psi_r, p, \theta)$ of $H(\psi_s, \psi_r, p, \theta)$ with respect to ψ_s .

On the other hand, **not** cyclo-passive at electrical + excitation port for **constant velocity** ω .

Applying the **Blondel-Park transformation** the model reduces to a 6-dimensional port-Hamiltonian system in $dq0$ coordinates

$$\begin{bmatrix} \dot{\psi}_d \\ \dot{\psi}_q \\ \dot{\psi}_r \\ \dot{p} \end{bmatrix} = \begin{bmatrix} - \begin{bmatrix} r_s & 0 \\ 0 & r_s \end{bmatrix} & 0_{23} & \begin{bmatrix} -\psi_q \\ \psi_d \end{bmatrix} \\ & 0_{32} & -R_r & 0_{31} \\ \begin{bmatrix} \psi_q & -\psi_d \end{bmatrix} & 0_{13} & -d \end{bmatrix} \begin{bmatrix} \frac{\partial \hat{\mathcal{H}}}{\partial \psi_d} \\ \frac{\partial \hat{\mathcal{H}}}{\partial \psi_q} \\ \frac{\partial \hat{\mathcal{H}}}{\partial \psi_r} \\ \frac{\partial \hat{\mathcal{H}}}{\partial p} \end{bmatrix} + \begin{bmatrix} I_2 & 0_{21} & 0_{21} \\ 0_{32} & e_1 & 0_{31} \\ 0_{12} & 0 & 1 \end{bmatrix} \begin{bmatrix} V_{dq} \\ V_f \\ \tau \end{bmatrix}$$

with corresponding outputs.

The Blondel-Park transformation eliminates the dependence of the magnetic energy on the rotor angle θ at the expense of the introduction of **non-zero off-diagonal terms** in the J -matrix.

The system is **not** cyclo-passive at the mechanical port anymore. (Constant I_{dq} means there is an **alternating** current with constant **amplitude** at the stator side, which **does** produce a mechanical torque.)

The Carnot cycle for block-diagonal pH systems

Classical solution for energy conversion in this case: the **Carnot cycle** !

- 1 On the time-interval $[0, \tau_1]$ consider an **isothermal** with respect to port 1, corresponding to a constant $e_1 = \bar{e}_1^h$ (h for **hot**).
- 2 On the time-interval $[\tau_1, \tau_2]$ consider an **adiabatic** corresponding to a constant $x_1 = \bar{x}_1$.
- 3 On the time-interval $[\tau_2, \tau_3]$ consider an **isothermal** corresponding to a constant $e_1 = \bar{e}_1^c$ (c for **cold**).
- 4 Finally, on the time-interval $[\tau_3, \tau_4]$ consider an **adiabatic** corresponding to a constant $x_1 = \bar{x}_1$.

The **efficiency** of the cycle is the energy **delivered** via port 2 **divided** by the **supplied** energy via port 1 during the first isothermal.

In case \mathcal{R}_1 and \mathcal{R}_2 are **zero**, the efficiency is equal to

$$\frac{\bar{e}_1^h \Delta^h x_1 + \bar{e}_1^c \Delta^c x_1}{\bar{e}_1^h \Delta^h x_1} = 1 - \frac{\bar{e}_1^c}{\bar{e}_1^h},$$

where $\Delta^h x_1$ and $\Delta^c x_1$ are the changes in x_1 during the isothermals on $[0, \tau_1]$ and $[\tau_2, \tau_3]$, satisfying

$$\Delta^h x_1 + \Delta^c x_1 = 0$$

Direct generalization of the **Carnot efficiency equation**.

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Energy conversion is much more easy in case there are **off-diagonal** blocks in the interconnection matrix J .

Recall the DC-motor

$$\begin{bmatrix} \dot{\varphi} \\ \dot{p} \end{bmatrix} = \left(\begin{bmatrix} 0 & -K \\ K & 0 \end{bmatrix} - \begin{bmatrix} R & 0 \\ 0 & b \end{bmatrix} \right) \begin{bmatrix} \frac{\varphi}{L} \\ \frac{p}{J} \end{bmatrix} + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} V \\ \tau \end{bmatrix},$$

$$\begin{bmatrix} I \\ \omega \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \frac{\varphi}{L} \\ \frac{p}{J} \end{bmatrix}, \quad H(\varphi, p) = \frac{\varphi^2}{2L} + \frac{p^2}{2J}$$

Thanks to the **gyration constant** K , energy is easily flowing from the electrical to the mechanical port (or conversely, in case of dynamo mode).

In fact, for **constant** $I = \bar{I} \neq 0$ the system is **not** cyclo-passive at the mechanical port; hence net energy **can** be extracted!

Consider the system with $I = \bar{I} \neq 0$. Then a storage function F for the constrained system should satisfy

$$\frac{d}{dt}F = \frac{dF}{dp} \left[K \frac{\bar{\varphi}}{L} - b \frac{p}{J} + \tau \right] \leq \omega \tau$$

for all τ , where $\frac{\bar{\varphi}}{L} = \bar{I}$.

It follows that $\frac{dF}{dp} = \omega$, and thus that $F(p) = \frac{p^2}{2J} + \text{const.}$ After substitution this implies

$$\frac{dF}{dp} \left[K \bar{I} - b \frac{p}{J} \right] = \omega K \bar{I} - b \omega^2 \leq 0$$

for all ω . However, this can only be true whenever $\bar{I} = 0$.

Similar argument holds for the case $\omega = \bar{\omega} \neq 0$ (**dynamo mode**), showing that the system is **not** one-port cyclo-passive at the electrical port either, and net energy **can** be extracted.

Consider again the DC motor

$$\begin{bmatrix} \dot{\varphi} \\ \dot{p} \end{bmatrix} = \begin{bmatrix} -R & -K \\ K & -b \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial \varphi} \\ \frac{\partial H}{\partial p} \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} V + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \tau,$$

$$I = \frac{\partial H}{\partial \varphi}$$

$$\omega = \frac{\partial H}{\partial p}$$

with

$$H(\varphi, p) = \frac{\varphi^2}{2L} + \frac{p^2}{2J}$$

Add an integrator $\dot{\theta} = \omega$, to obtain the 3-dimensional port-Hamiltonian model

$$\begin{bmatrix} \dot{\varphi} \\ \dot{p} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} -R & -K & 0 \\ K & -b & -1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial \varphi} \\ \frac{\partial H}{\partial p} \\ \frac{\partial H}{\partial \theta} \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} V + \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \tau,$$

$$I = \frac{\partial H}{\partial \varphi}$$

$$\omega = \frac{\partial H}{\partial p}$$

where

$$H(\phi, p, \theta) = H(\varphi, p) = \frac{\varphi^2}{2L} + \frac{p^2}{2J}$$

and thus $\frac{\partial H}{\partial \theta} = 0$.

Apply **coordinate transformation** $\tilde{\varphi} := \varphi + K\theta$, with p and θ unchanged. This results in the block diagonal pH system

$$\begin{bmatrix} \dot{\tilde{\varphi}} \\ \dot{p} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} -R & 0 & 0 \\ 0 & -b & -1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial \tilde{H}}{\partial \tilde{\varphi}} \\ \frac{\partial \tilde{H}}{\partial p} \\ \frac{\partial \tilde{H}}{\partial \theta} \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} V + \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \tau,$$

$$l = \frac{\partial \tilde{H}}{\partial \tilde{\varphi}}, \quad \omega = \frac{\partial \tilde{H}}{\partial p}$$

with

$$\tilde{H}(\tilde{\varphi}, p, \theta) = \frac{(\tilde{\varphi} - K\theta)^2}{2L} + \frac{p^2}{2J}$$

Thus for constant $l = \bar{l}$ the **extended** pH system is **cyclo-passive** at the mechanical port, with storage function

$$\tilde{H}^*(\bar{l}, p, \theta) = -\frac{1}{2}L\bar{l}^2 + \frac{p^2}{2J} - K\bar{l}\theta$$

- 1 Central question
- 2 Port-Hamiltonian formulation
- 3 Structural limitations to energy conversion
- 4 Energy conversion in case of non-zero off-diagonal blocks
- 5 Conclusions

Conclusions

- **Energy conversion in general multiphysics systems** can be studied from a port-Hamiltonian perspective.
- **Structural limitations** to energy conversion in case of block-diagonal pH systems. Similar to thermodynamics.
- **Generalization of Carnot cycle** to block-diagonal pH systems
 - Develop strategies different from the Carnot cycle. E.g., periodic temperature profiles.
 - Transform the system to a non block-diagonal form by state/input/output transformations (such as Blondel-Parks), or by feedback: leads to matching equations similar to IDA-PBC control.
 - Is there a suitable notion of **optimal energy conversion**?

References:

AvdS, D. Jeltsema, "Limits to Energy Conversion", *IEEE TAC*, 2021

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